

Guidelines:

Industrial Postdoc

Please be aware that this document is a translation of the legally binding Danish version of the guidelines.

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1. The programme

1.1 Industrial Postdoc - in brief

An Industrial Postdoc project is a business-oriented research project, lasting for one to three years, that is implemented in a company by a researcher who has achieved a PhD degree within the last five years. The project shall comprise significant research of high quality, and its research level shall reflect that it is a person with PhD competences who shall carry out the research. In addition, the project shall have clear focus on the business-related development of the company and direct or indirect business-related significance and effect in the short or long term.

The Industrial Postdoc must be employed full time by the company, and the project shall be implemented in collaboration with a public research institution. The Industrial Postdoc will share the working time between the company and the research institution, according to the needs of the project. The company and the research institution must both assign a mentor to the Industrial Postdoc.

Industrial Postdoc is one of Innovation Fund Denmark's Industrial Researcher programmes and generally contributes to ensuring the Fund's objective of creating growth and employment in Denmark and supporting solutions to specific societal challenges. The Industrial Researcher programmes have the following specific objectives:

- To educate and develop research talents to industrial researchers
- To contribute to business-oriented research, development and innovation in Denmark
- To strengthen the collaboration between companies in Denmark and universities or research institutions at home and abroad

The Fund finances part of the Industrial Postdoc's salary and travel expenses in the company as well as the research institution's expenses for mentoring, equipment and other expenses of the project.

1.2 Thematisation of Industrial Postdoc

On the basis of the research policy agreement 'Distribution of the research reserve' of 28 October 2021, Innovation Fund Denmark is able to support Industrial Researcher projects that fall within at least one of the following three themes. If your project does not fall within these three themes, there is other funding that is not tied to a theme.

Green research, technology development and innovation

Innovation Fund Denmark invests in research projects that may develop the green technologies and solutions needed to convert Denmark to a sustainable future, where we reduce greenhouse gas emissions, protect our environment and nature and create a green business adventure in Denmark. The investments relate to the government's green research strategy and are, among other things, aimed at strengthening innovation in the Danish business community.

The theme relates to green projects within, e.g.:

 Energy production and efficiency, including smart, integrated energy systems, digital solutions and intelligent utilisation of new technologies, P-to-X, carbon capture etc.



- Digitisation and data utilisation, driving the green transition. It may, among other things, be about digital monitoring and management of climate, environment and nature relevant conditions, e.g., by using big data, artificial intelligence, Internet of Things, cyber and information security as well as drones and digital infrastructure in the form of satellites etc.
- Climate-friendly agriculture and food production, including, e.g., emission-free food production and sustainable, plant-based foods
- Transport, environment and circular economy
- Nature and biodiversity
- Sustainable housing, construction and cities with a view to developing climate and environmental solutions
- Sustainable behaviour and societal consequences of the climate change, hereunder understanding and behaviour in relation to climate challenges and the green transition, as well as tools that can support the above
- Sustainable fashion and textiles, hereunder new product flows, re-/upcycling of materials and new materials

Key to the projects that may be supported is that they contribute to the green transition and have a relevant long-term sustainable impact, and that the expectations of these contributions can be made clear in the applications.

Life science, health and welfare technology

The theme shall support strategic and challenge-driven research, technology development and innovation within life science, health and welfare technology.

The funding shall contribute to creating societal value and economic growth for private and public companies and/or customers in the society, not least in the life science sector.

The research shall therefore, as far as possible, be carried out in close interaction between research institutions and the business community.

The research may contribute to the development of, e.g.:

- · New technological or digital tools for the health and welfare sector
- Veterinary research
- New medicines and treatment options, herunder personalised medicine
- Development of the digital health area, herunder the health data area, using artificial intelligence in a health-related perspective
- · Digital prevention and treatment options for people with physical and mental disorders
- Knowledge about the use of medical cannabis

Strategic and challenge-driven research and innovation within new technologies

The theme supports strategic research and innovation within digitisation and new technologies that may contribute to maintaining production and jobs in Denmark.



The funding shall strengthen research and innovation in a number of important digital and technological areas, e.g.:

- · Robot and drone technology
- Automated production technology
- Advanced measurement and sensor technology
- Development and use of new materials and process technologies
- Big data and artificial intelligence
- · Quantum technology and quantum computing
- · Cyber and information security
- Space-based technology and data
- Innovation that creates less arduous jobs
- Technological solutions that support the digitisation of citizens' and consumers' opportunities
- The effort may, e.g., support the development of digital solutions for the benefit of the green transition, health and welfare

The research may also create new knowledge about the significance of digitalisation for people and society. Finally, the funding may be used for research and innovation that create less arduous jobs or new forms of organisations.

Non-theme specific funds

This funding is aimed at projects that do not fall within the three themes above. These projects may, e.g., be within the humanities or social sciences research. Grants awarded in the form of free funds shall meet exactly the same requirements as apply to the projects applying within the themes. When submitting the application, you need to argue why the project does not fall within one of the three themes above.

1.3 Who may apply?

An Industrial Postdoc project is a collaboration consisting of a company, a research institution and an Industrial Postdoc.

The Innovation Fund Denmark wants to promote diversity in all its aspects. Therefore, all interested parties – regardless of research area, ethnicity, religion, gender identity or age – are encouraged to apply for funding from the Fund.

There are the following requirements for the partners:

1.4 Company and company mentor

The company shall meet the following criteria:

- Have a branch with an independent CVR number geographically located in Denmark, where the Industrial Postdoc is employed
- Have the finances and facilities to manage the project throughout the entire process
- Be financially independent of the research institution. This means that:
 - the research institution may own a maximum of 25% of the company; and



- there may not be any significant cash flow from the research institution to the company
- Assign a company mentor to the project
- Be part of the private sector
- Must not be an 'undertaking in difficulty' as defined in Article 2 (1), No. 18 of Commission Regulation (EU)
 No. 651/2014 of 17 June 2014 declaring certain categories of aid compatible with the internal market pursuant to Articles 107 and 108 of the Treaty
- Have complied with any repayment orders issued by the European Commission in one or more decisions
 where state aid granted by the Danish authorities has been found illegal and incompatible with the
 internal market
- Not be subject to compulsory dissolution, bankruptcy, voluntary liquidation or suspension of payments

To be part of the private sector, the company shall meet the following criteria:

- Be neither a state, nor a regional, nor a municipal company or be an interest organisation for public organisations
- Have a turnover of which no more than half is publicly funded (incl. EU subsidies and payments from citizens as required by law)

In case of doubt as to whether an organisation is part of the private sector, the organisation may submit articles of association and the most recent annual accounts to erhvervsforsker@innofond.dk to be assessed.

If the company is not part of the private sector, public organisations may apply in separate application rounds. Read more about this in section 7.

The company shall appoint a mentor. The mentor is the company's formal representative in the project and collaborates with the Industrial Postdoc and the research institution's mentor on the implementation of the project and the education. The company mentor is responsible for the vocational sparring with the Industrial Postdoc. It is possible to appoint several co-mentors and third parties.

The company mentor shall meet the following criteria:

- General experience within the project theme (research experience is not required)
- · In-depth industry knowledge

Between them, the company and research mentors shall have the research-relevant competencies to give the Industrial Postdoc qualified academic sparring about the project. Thus, it is not a requirement that the company mentor has research experience as long as the requirement is met jointly with the research mentors.

The company mentor does not have to be employed in the company, but must work in the private business sector on a daily basis.

¹ There may not be any cash flow between the research institution and the company that causes financial dependency between them. Ordinary trade in products or services, etc. on commercial terms or redistribution of public project funding in collaboration projects is not considered to be a significant cash flow.



1.5 Research institution and research institution mentor

The research institution participating in the project needs to meet the following criteria:

- Be able to document a significant research effort within the project area
- Appoint a research mentor to the project
- Be part of the public sector

To be part of the public sector, the research institution shall fulfil at least one of the below criteria:

- Be a state, regional or municipal organisation or an interest organisation for public organisations
- Have a turnover of which more than half is publicly funded (incl. EU subsidies and payments from citizens as required by law)

The research institution may be placed in Denmark or abroad. It shall appoint a mentor who shall cooperate with the Industrial Postdoc and the company about the implementation of the project. The research mentor shall be the research institution's formal representative in the project, responsible for the research-related sparring with the Industrial Postdoc.

A research institution mentor shall meet the following criteria:

- Be a recognised researcher within the subject area of the project
- Be working on a daily basis in a research-relevant environment within the subject area of the project

1.6 Industrial Postdoc candidate

The Industrial Postdoc candidate may be approved for the project, provided that he or she meets the following criteria:

- Have achieved a PhD degree within the last five years at the time of application (maximum five years from date of PhD diploma, excluding parental or sick leave
- Are able to document high-quality research experience within the subject area in the form of publications, research-based patents or something similar

The candidate may apply prior to submitting his or her PhD thesis, provided that the application includes a statement from the primary supervisor regarding expected submission and successful defence within six months following the application deadline.

2. What can I apply for investment in?

Innovation Fund Denmark finances part of the company's expenses for the Industrial Postdoc's salary and travel activity and the research institution's expenses for the project. Innovation Fund Denmark will pay out funding to both the company and the research institution, and the funding may only be used for the company's and the research institutions own project costs, respectively.

2.1 How big an investment can I apply for?

Funding for the company

Innovation Fund Denmark finances up to DKK 22,000 per month of the Industrial Postdoc's salary during the project period, however, as a maximum 50% of the total salary (actual salary expenses calculated on the basis of the annual gross salary, including pension, insurance and holiday pay).



For each month of the project period, the company shall also have DKK 2,500 available for the Industrial Postdoc's travels (in connection with the Industrial Postdoc's participation in project-relevant conferences at home and abroad as well as stays abroad. This includes one round trip ticket to the destination, visa, travel insurance and accommodation. Meals, daily/local transport, books, etc. are not covered. The funds may be freely spent throughout the project period and are not tied to any particular month.

The company shall pay all other expenses for the project, including equipment, materials and data collection. This also includes personal equipment for the Industrial Postdoc, such as laptop and mobile phone.

Please note that a maximum of 50% of a company's total expenses for an Industrial Postdoc project may be financed by public funds.

Investments in the Industrial Researcher Programme are provided in accordance with the framework of the General Block Exemption Regulation (Commission Regulation (EU) No. 651/2014 of 17 June 2014 on certain categories of aid and their compatibility with the internal market pursuant to Articles 107 and 108 of the Treaty), Chapter 1 (Articles 1-12) and Chapter 3 (Articles 25 and 30).

Funding for the research institution

Innovation Fund Denmark will grant the research institution up to DKK 10,000 (including overhead) for each month of the project period. The amount may cover the research institution's project-related expenses for:

- The research mentor's sparring with the Industrial Postdoc
- The research mentor's participation in conferences. This includes one round trip ticket to the destination, visa, travel insurance and accommodation. Meals, daily/local transport, books, etc. are not covered
- Project-relevant equipment, materials, apparatus (acquisition and/or use) and external services
- Other employees' work on the project (does not include HR and financial functions, rent, public utilities, etc.)
- Publication and dissemination of research results

The funds may not be used for the Industrial Postdoc's salary or travel expenses. The funds may be spent freely throughout the entire project period and are not tied to a particular month. The research institution shall submit financial accounts at the end of the project and return unused funds to the Fund.

2.2 What can the investment finance, and how long may be project last?

The financing may cover a maximum of 50% of the project costs in the company.

The project may last from 12 to 36 months. The application shall specify how many months are applied for.



3. Application

3.1 How do I apply?

Your application must be created and submitted via the electronic application system: www.egrant.dk

The company mentor must create and submit the application. The research institution mentor shall also register at www.egrant.dk. After creating the application, the company mentor shall add the research institution mentor who participates in the application. The same applies to the Industrial Postdoc candidate if applying with a specific candidate. These steps are necessary for the application to be processed.

The applicant shall register as a user of the system with either a username and password or with NemID before an application can be created.

You create a new application by locating the correct call under 'Search options' and press 'Start your application'. Note that the list of search options is sorted alphabetically, and that the names of all calls from The Innovation Fund Denmark will start with 'IF'.

You may write your application in either Danish or English or in a combination of the two languages.

3.2 What shall the application include?

In the application to Innovation Fund Denmark, you shall describe the Industrial Postdoc project and the persons and organisations participating in the project. A statement of how the project contributes to the themes or falls outside the themes is part of the application.

The application shall contain a description of the following:

- Objectives and success criteria
- Business significance and effect
- State-of-the-art and possibly theoretical background
- Project description
- Expected publications
- Courses, conferences and stays abroad
- Structure and schedule
- Time distribution
- Company
- Research institution
- Possible third parties

The template can be found at www.erhvervsforsker.dk. In addition to the above, the application shall also include:

- CV of mentors
- CV of candidate, if any
- · PhD diploma for candidate, if any
- Signatures by the project partners



If the application does not comply with the formal requirements and deadlines stated in the application form in e-grant and in the appendix templates, or if incorrect templates have been used, The Innovation Fund Denmark may reject the application without active consideration, i.e., without assessment of the academic content of the application. This also applies if the project partners do not meet the formal requirements as described in sections 1.4 and 1.5.

The application deadlines are determined on an ongoing basis and published at www.erhvervsforsker.dk. The application and all communication shall be in Danish, English or a combination of these two languages.

A company and a research institution may apply without a specific Industrial Postdoc candidate. If the application is approved, the partners need to find and have a qualified candidate approved within six months.

The Fund publishes titles, summaries and participants in approved projects on Innovation Fund Denmark's website. Therefore, you need to make sure that the title and summary do not contain information that you wish to keep secret.

4. Assessment

4.1 How does the assessment process take place?

The first assessment step is an assessment of whether your application meets the administrative requirements described in these guidelines.

The second assessment step is an academic assessment of the application. The basis for the assessment is the material which you as an applicant submitted via e-grant, and which is within the framework of the Industrial Researcher scheme's guidelines. In addition, the assessors will rely on the prior knowledge they possess, i.e., the reason why they can be appointed as assessors, as well as knowledge that can be obtained through publicly available sources (e.g., literature and article databases, patent databases and company databases) and searches on the Internet.

4.2 Who evaluates the application?

The Innovation Fund Denmark's Industrial Researcher Committee (EFU), consisting of recognised researchers as well as research and business experts within various disciplines, will assess the applications. The Committee makes a recommendation to the Fund that will make the final decision.

The Committee may decide to obtain external assessments in cases where additional professional or academic competencies are needed for the assessment – in such cases, the external assessment will be submitted to the applicant for consultation. The applicant needs to be aware that if an external assessment is used, the assessment that the applicant receives in consultation will only constitute part of the overall assessment basis. Thus, the final assessment may yield a different result than what is indicated in the submitted assessment.

4.3 How will the application be evaluated?

The application will be assessed on the basis of the following assessment criteria:



The quality of the idea

The project shall generally be at Postdoc level and, realistically, it shall be possible to complete it within the project period. Specifically, the quality of the project will be assessed in relation to:

- · Research-relevant news value
- Quality of description of state-of-the-art within the area
- · Relevance and level of the theoretical basis
- Quality of hypotheses/research questions
- Relevance and concretisation of selected methods and data basis

Impact

The project shall have a clear business significance and effect for the Danish part of the company and be assessed specifically in relation to:

- The expected contribution of the results to the company's business foundation and/or earnings
- Plan for and probability of implementation and commercial realisation of the results

Please note that it is not sufficient that the project promotes and brands the company or acts as a lever for additional project funding.

Quality in execution

The application shall demonstrate that the project is well organised, and that the partners are competent and relevant. The following will be specifically assessed:

- Feasibility and organisation of the project (including structure and time schedule, role distribution, the Industrial Postdoc's time distribution, dissemination and publication schedule)
- The establishment of a significant association between the Industrial Postdoc and both the company and the research institution
- The quality of the project partners' qualifications

4.4 How do I receive a response to my application?

An Industrial Postdoc application may be approved, conditionally approved or rejected. The applicant will receive a response through e-grant.

Conditional approval

If the application is approved conditionally, you will find a decision outlining the conditions for final approval of the case in e-grant. If, e.g., you have applied without a candidate, it will be a condition that you find a qualified candidate. You submit documentation for fulfilment of the conditions to the Fund via e-grant. If the Fund assesses that the conditions have been met, you will receive the Fund's official approval. The conditions shall be met no later than six months after the decision.

Rejection

If the application is rejected, you will find a reasoned rejection of the case in e-grant. It is possible to reapply at the next application deadline, choosing the same or a different theme (see section 1.2.). When re-applying, you need to explain how the grounds for rejection have been remedied. All material, hereunder new signatures, shall be resubmitted upon re-application, and the application shall be re-created in e-grant. It is possible to get a short, written elaboration on the rejection. You can ask for this by writing to



<u>erhvervsforsker@innofond.dk</u> with up to three specific questions regarding the rejection. Remember to write the case number in the email.

4.5 When will I receive a response to my application?

As a rule, the Industrial Researcher Committee (EFU) processes the application within two months. The Committee may decide to obtain more information from the applicant for the assessment. In that case, the processing time may be longer.

5. From approval to start-up of the project

5.1 What happens after my application is approved, and when may the project start?

An approved project may start on the date of submission of the approval – at the earliest – and shall start no later than six months after approval. If the project has not started at the latest six months after approval, The Innovation Fund Denmark reserves the right to withdraw the grant. One reason might be that no candidate has been found, or that the candidate has not achieved his/her PhD degree within six months.

If the project is approved, the Fund will create a grant case on www.e-grant.dk. You must submit financial accounts, reports and other written documents to the Fund via e-grant, depending on your obligations in the project. Likewise, you ask for approval of project changes and otherwise communicate with the Fund's employees via e-grant.

The company mentor is responsible for the company's communication with the Fund via e-grant, hereunder that the company submits the required documents via e-grant. The research institution mentor is responsible for the research institution's communication with the Fund via e-grant, hereunder that the research institution submits the required documents via e-grant.

5.2 Which terms of employment will apply to the Industrial Postdoc candidate? Employment

In an Industrial Postdoc project, the Industrial Postdoc shall be employed in the company and thus work under the conditions that apply to private employment. The Industrial Postdoc must be employed full time during the project. The Industrial Postdoc's work assignments and time must be primarily used on the Industrial Postdoc project and shall only to a limited extent be used on related research activities. Also, the Industrial Postdoc's time shall be distributed between the company and the research institution in a way that is appropriate for the project, ensuring affiliation to both environments.

The employment shall, as a minimum, be subject to the general terms and conditions for salaried employees. Other terms of employment may follow from a collective agreement, if any, or from an individual agreement. Non-compete clauses or the like in the employment contract shall not limit the possibility to obtain employment elsewhere.

Pay

The Industrial Postdoc's total salary (the sum of salary and pension) shall at least correspond to the total salary for postdoc employees in the state as determined in the relevant collective agreement. You may find salary rates at www.erhvervsforsker.dk. Questions about specific salary levels may be directed to the unions.



6. During the project

If the project is approved, the Fund will create a grant case on www.e-grant.dk. You must submit financial accounts, reports and other written documents to the Fund via e-grant, depending on your obligations in the project. Likewise, you ask for approval of project changes and otherwise communicate with the Fund's employees via e-grant.

The company mentor is responsible for the company's communication with the Fund via e-grant, hereunder that the company submits the required documents via e-grant. The research institution mentor is responsible for the research institution's communication with the Fund via e-grant, hereunder that the research institution submits the required documents via e-grant.

6.1 How will the investment be paid out, and what do I need to report during the project?

The Fund will pay out 85% of the full grant to the company and the research institution. For Danish organisations, the grant is paid to the NemKonto which is linked to the organisations' CVR numbers. No financial accounts need to be submitted and no results need to be reported during the project. However this must be submitted after the project has ended.

6.2 What will happen if I cannot comply with the plan?

The company and the research institution shall immediately notify the Fund if there are significant changes in the basis for the grant. This includes, among other things, a change of mentor, leave, major interruptions or delays and significant academic changes. Significant academic changes are changes that are so extensive that the project cannot be immediately recognised when compared to the project that was originally approved.

The project may only continue when and if the Fund approves the changes. If the duty to inform is not complied with, the Fund may decide that the grant ceases, and that any funding paid out shall be repaid. The change request shall be submitted via e-grant.

Leave of absence

Leave may be requested for the Industrial Postdoc. The request shall be submitted via e-grant.

The Fund shall approve the request before the leave may start. The Fund does not provide grants during leave periods, incl. maternity and sick leave. The end date of the project may be postponed in accordance with the leave period and, instead, the grant may be provided during the extended period. If the company receives reimbursement from another public sector due to, e.g., maternity leave or long-term illness of the Industrial Postdoc, it is necessary to apply for leave from the Industrial Postdoc project.

6.3 What do I need to do at the end of the project?

The company and the research institution will receive the last part of the total grant when the Fund – at the end of the project – has received and approved:

- Final accounts from both partners
- Auditor's statement for the company's final accounts
- Final assessment of the project



The partners' grant expenditures during the project period shall be stated in the final accounts, after which the Fund will settle with both partners. Be aware that this may mean that the company and the research institution shall pay back some of the prepaid grant at the end of the project.

At the same time, the company shall declare in good faith that the total public subsidies to the company do not exceed 50% of the company's total project costs.

The final assessment consists of a form that evaluates the project in relation to effects, results and process. The evaluation form shall be completed by the project partners before the Fund may effectuate the last payout to the company and the research institution. The Fund shall not receive other academic reporting during the project.

7. Industrial Postdoc in the public sector

If an organisation, cf. section 2, is not categorised as a private company, it is – in the context of Industrial Researcher – considered to be a public organisation.

The organisation may apply for an Industrial Postdoc in the public sector in connection with the call for Industrial Researcher for public companies. Please check the website regularly for notices and deadlines for public Industrial Postdoc. The grant amounts are the same as for private companies. It is not possible to apply for public Industrial Postdoc projects in connection with general application deadlines. The thematisation of the Industrial Researcher programmes described in section 1.2 also applies to Industrial Postdoc projects in the public sector. See further at www.erhvervsforsker.dk.

The purpose of Industrial Researcher in the public sector is:

- To support research, development and innovation in the public sector through targeted and applicationoriented research projects
- To develop researchers with insight into research, development and innovation in the public sector
- · To build networks and support knowledge sharing between public organisations and research institutions

7.1 Special conditions

Public organisations that have the authority to issue PhD degrees may not act as a host company in a public Industrial Postdoc project, but may act as a research institution. Other public institutions, e.g., university hospitals, may only function as a host company in a public Industrial Postdoc project within the research-relevant main areas (social sciences, health sciences, etc.), in which they do not already have permanent research activities.

The company mentor must work in the public sector on a daily basis rather than in the private sector.

7.2 Special assessment criteria

No financial effect is required for the applicant company in connection with a public Industrial Postdoc project. Instead, the project shall be judged on the news and use-value it has for the organisation.

The use-value for the organisation may, e.g., consist of:



- streamlining
- · knowledge building that directly increases the organisation's competencies
- · systematic dissemination of knowledge
- strengthening the quality of the organisation's work or services.

In addition to the use-value of the organisation, a public Industrial Postdoc project must be of benefit to society.

The project will therefore also be assessed based on its broader societal value which may, e.g., be that the use-value of the project for the institution:

- is disseminated to other similar organisations
- · leads to improved living conditions for citizens in the community
- · leads to improved conditions for the business community

In addition to the above special assessment criteria, the criteria for the quality of the idea and the quality of execution, cf. section 4.3, also apply to public Industrial Postdoc applications.



8. Information management

8.1 Registration of information

The e-grant application system will automatically register selected information. When you register as a user, e-grant registers your identity, IP address and the time when the application was created or edited.

8.2 Applicant's responsibility

It is the applicant's responsibility that the information in the electronic application is correct, that the necessary appendices are attached to the application, that the content of the appendices is correct, and that the application is submitted prior to the expiration of the application deadline.

Innovation Fund Denmark generally does not ask for further information for use in the processing of the application unless this is indicated in the other sections of the guidelines. Likewise, submitted material and other documentation attached to the application in addition to the specified application material will generally not be included in the application assessment.

The applicant is obliged to inform Innovation Fund Denmark immediately if there are significant changes in the submitted information, hereunder if funding has been received for the project or parts of it from third parties.

8.3 Correction of application information

It is not possible to correct the content of the application after the application deadline, except for correction of possible personal information.

8.4 Procuring other information

If funding for the project has been applied for or will be applied for elsewhere, Innovation Fund Denmark reserves the right to obtain information on whether the amounts have been granted.

9. Publication of information

Innovation Fund Denmark will publish an overview of applications that receive a grant, and the partners in the investment project may in this connection be asked to write a brief, simply worded description of the project which may subsequently be published.

In addition, information about the project manager's title, name, place of employment and email address, the names of the participating partners, the project's title and duration, key figures from the investment and the size of the investment may be published in the Danish National Research Database (www.forskningsdatabasen.dk), on the Fund's website (www.innovationsfonden.dk) and in Innovation Fund Denmark's publications.

Applicants shall also be aware that information may be passed on to the extent that access is requested according to, among other things, the Public Records Act. Access to documents may, e.g., be given in the form of lists of who has applied and for what (applicants' names, application titles and amounts applied for).



Therefore, the applicant shall be mindful that the title of the application does not contain information about activities that are to be kept secret. In relation to the applications, Innovation Fund Denmark will, in dialogue with the applicant (including the companies), ensure that no business-sensitive information or other information is provided that may not be lawfully disclosed.

Open access

Innovation Fund Denmark has adopted the provisions of the 'Open Access-politik for offentlige forskningsråd og fonde'. This means that published scientific articles which are the result of full or partial funding from Innovation Fund Denmark, shall be made freely available to everyone via Open Access, if the scientific journal allows it.

RPI and the Danish Code of Conduct for Research Integrity

Innovation Fund Denmark emphasises Responsible Research and Innovation (RRI), which aims to create a better connection between research and innovation processes and results and society's values and needs. In Innovation Fund Denmark, we promote RRI both in the Fund's overall strategies and through our projects, and we adhere to the EU Commission's definition and implementation of RRI.

Read more about RRI and our requirements on Innovation Fund Denmark's website (under rules of procedure, rules concerning competence to act, etc.).

Please note that the projects in which Innovation Fund Denmark invests shall involve relevant stakeholders and institutions in the research and innovation process. One inherent element is that projects that have or may have a great impact on society and/or the individual citizen, ethically or technologically, shall enter into direct dialogue with the general public to ensure the dissemination of information and relevant discussion in society.

Innovation Fund Denmark reserves the right to establish specific requirements for the projects in which the Fund invests. In cases where the project deals with technologies or processes that may have a significant impact on society, the consequences of the technology or processes shall be clearly described in the application. It is therefore expected that these projects include all relevant competencies and methods, and that socially relevant research angles are integrated – e.g., anthropology or similar.

Innovation Fund Denmark also supports the principles set out in <u>the national code of conduct for integrity in Danish research</u>. Innovation Fund Denmark expects that funded projects adhere to the instructions in the RRI and the Code of Conduct.

Data management

Innovation Fund Denmark encourages that handling of project-generated data takes place in accordance with the FAIR principles (FAIR: Findable, Accessible, Interoperable and Reusable), as described in the EU 'Guidelines on FAIR Data Management in Horizon 2020' (version 3.0, 26 July 2016).

In this way, it is possible to, e.g., build on previous research results, verify results by other researchers, avoid duplication of work, accelerate innovation and create transparency and credibility about results.



10. About these guidelines

10.1 Legal basis

These guidelines have been established in accordance with section 18, subsection 2 (1) in the Danish Act on the Innovation Fund Denmark, cf. Consolidation Act No. 1660 of 12 August 2021, and Ministerial Order No. 1150 of 25 October 2017 on the grant function, etc. under Innovation Fund Denmark.

Investments in the Industrial Researcher programme are provided in accordance with the framework of the General Block Exemption Regulation (Commission Regulation (EU) No. 651/2014 of 17 June 2014 on certain categories of aid and their compatibility with the internal market pursuant to Articles 107 and 108 of the Treaty), Chapter 1 (Articles 1-12) and Chapter 3 (Articles 25 and 30).

10.2 Technical disclaimer

The Danish Agency for Higher Education and Science is responsible for e-grant and has a duty to inform about errors that make e-grant so inaccessible that it affects the applicant's ability to submit e-applications within the application deadline. Information on inaccessibility will appear on <u>Uddannelses- og forskningsministeriets</u> hjemmeside/Drift status.

In particularly serious cases, Innovation Fund Denmark may extend the application deadline for all relevant applicants. This will likewise appear on <u>Uddannelses- og forskningsministeriets hjemmeside/Drift status</u> as well as <u>Innovationsfondens hjemmeside</u>.

Innovation Fund Denmark and the Danish Agency for Higher Education and Science are not liable for incorrect information as a result of software errors, calculation errors, transmission errors and similar errors, or for any claims for compensation as a result of the incorrect use of e-grant.