Guidelines for Grand Solutions and Innomission partnerships
Contents

1. Introduction and reader’s guide ................................................................. 3
  1.1 About Grand Solutions ......................................................................... 3
  1.2 About Innomission partnerships ......................................................... 3
  1.3 Legal basis .......................................................................................... 3

2. Application process .................................................................................. 4
  2.1 Who can apply and receive funding? ................................................... 4
  2.2 Assessment process ............................................................................ 4

3. Finances and budgeting .......................................................................... 4
  3.1 IFD maximum investment rates and co-financing ............................. 4
  3.2. Budgetary rules for enterprises ......................................................... 6
  3.3 Budgetary rules for public sector institutions ..................................... 7
  3.4 Budgetary rules for GTS-institutes ..................................................... 7
  3.5 Eligible costs ...................................................................................... 7
  3.6 Capacity building .............................................................................. 9

4. Application preparation ........................................................................... 9
  4.1 Application content ........................................................................... 9
  4.2 Using e-grant .................................................................................... 9
  4.3 Budget .............................................................................................. 10

5. Investment agreement and follow-up .................................................... 10
  5.1 Investment and collaboration agreements ....................................... 10
  5.2 Project partners and project contributors ....................................... 11
  5.3 Active follow-up on investments ..................................................... 11

6. Other matters concerning processing of applications etc. .................. 11
  6.1 Registration of information ............................................................... 11
  6.2 Applicant's responsibility .................................................................. 11
  6.3 Technical disclaimer ........................................................................ 12
  6.4 Rectification of application data ....................................................... 12
  6.5 Disqualification from participation in applications ........................ 12
  6.6 Rejection of applications without substantive consideration ........ 12
  6.7 Procurement of other information ................................................... 12
  6.8 Disclosure ....................................................................................... 13
  6.9 Open access .................................................................................... 13
  6.10 RRI and the Danish Code of Conduct for Research Integrity .......... 13
  6.11 Data Management ......................................................................... 13
1. Introduction and reader's guide

Innovation Fund Denmark’s (IFD) purpose is to advance research, development and testing of innovative solutions for the benefit of growth and employment in Denmark as well as to solve societal challenges.

IFD therefore invests in projects across the entire value chain, from research to implementation.

1.1 About Grand Solutions

Grand Solutions projects are characterised by their high risk profile and focus on ambitious results with high value creation whether in the form of new knowledge, improvement of/or new processes, systems, products or solutions to societal challenges.

A Grand Solutions project must create societal value and/or economic value in public and private companies in Denmark and/or for beneficiaries in the Danish society e.g. citizens, the state, regions and municipalities. It is therefore advantageous if beneficiaries of the project outcomes and other key stakeholders are active participants in the development and duration of the project.

The breadth of Grand Solutions projects means that the number and type of project participants will vary considerably from project to project. Similarly, IFD’s investment will typically vary from DKK 5-30 mill. The duration of the projects is two to five years.

1.2 About Innomission partnerships

Mission-driven green research and innovation partnerships (Innomission partnerships) is an IFD instrument where IFD will invest in partnerships, which will accelerate the development of cutting-edge solutions ranging all the way from strategic coherent research to commercialization, with an equal focus on short-, mid- and long term impact.

The call for Innomission partnerships is the second phase in the IFD Innomission program. Phase one generated roadmaps for each of four missions. Phase two asks for proposals to form Innomission partnerships to drive actions based on the directions outlined in the roadmaps. The application for Innomission partnerships should outline activities and the organisational structure proposed to implement the roadmaps and achieve the mission’s goals and visions.

1.3 Legal basis

The present guidelines set out the general rules and constraints applicable to applications for Grand Solutions projects as well as for Innomission partnerships. Throughout the guidelines the term “project” is used as a common term for both Grand Solutions projects and Innomission partnerships as a whole.

The guidelines are set out pursuant to Section 18 subsection 2 (1st sentence) of the Act on Innovation Fund Denmark no. 306 of March 29, 2014, as amended in Act no. 384 of April 26, 2017 and Executive Order no. 1150 on awarding of grants etc. by Innovation Fund Denmark of October 25, 2017 and most recently amended in Act No. 1188 of 8 June 2021 on Innovation Fund Denmark.

At present, the guidelines are only available in English.

In addition to these guidelines, applicants will require:

1. The relevant call text(s) describing the specific framework for applications and deadlines. In addition, applicants should consult the material referred to in the call texts.
2. Overview of the electronic application form from e-grant.

The relevant documents are available to applicants on the IFD website.
In case of queries concerning calls and guidelines, please contact the contact persons listed on IFD’s website (see link above) for the specific calls.

In case of technical queries concerning use of the e-grant application system, please contact support.e-grant@ufm.dk or tel. + 45 33 92 91 90 (open 9.00-12:00 CET on weekdays).

2. Application process

2.1 Who can apply and receive funding?
Any legal entity (such as an enterprise, a research institution or a public institution) in or outside Denmark, directly involved in the project activities, is eligible to apply or participate and receive funding from IFD. For details of the IFD maximum investment rates for the different types of participants, see Section 3.

One of the Danish project participants must act as the applicant organisation, and the project participants must in addition specify the person who, during the application process, acts as contact person between IFD and the project participants. The person submitting the application will automatically be registered as contact person. This can however be changed via the application page in e-grant.

2.2 Assessment process
Applications are assessed based on the criteria listed in the specific call.

The IFD Board of Directors decides which applications are subject to external peer review and which applicants are invited to interview at IFD. Applications that are not subject to peer review and not invited to project interviews will receive a letter containing the reason for rejection via the e-grant system.

The peer reviews are sent to the applicant via the project contact person in a consultation procedure.

Based on an overall assessment consisting of internal strategic and scientific/technical assessments, external peer reviews and any comments from the consultation process and project interview, the IFD Board of Directors decides which projects to invite to negotiations for an investment agreement.

The IFD Board decision of either rejection or an invitation to negotiations for an investment agreement will be sent via the e-grant system. IFD aims to keep the processing period from application deadline to decision to less than 100 days.

3. Finances and budgeting

The budget must be prepared in accordance with the budgetary rules and description using the budget template (Excel format). The excel file will form part of the application. The budget template must be accessed in the application form in e-grant.

Applicants, whose project is invited to enter negotiations for an investment agreement, must consider budget adjustments in connection with the investment agreement.

3.1 IFD maximum investment rates and co-financing
IFD will cover a maximum of 75 % of the project’s total costs.

In addition there is also an IFD maximum investment rate for each individual participant depending on which organisation type the particular participant belongs to. These IFD maximum investment rates are summarised in the following tables and further described in the following chapters.
It must be emphasised that the IFD maximum investment rates are maximum values and it is expected, that the value creation for each individual project participant and the project consortium is reflected in the financial commitment from the project participants. IFD requires that all project participants invest in the project. The co-financing may be made as cash or “in kind”. The “in kind” co-financing can be e.g. the value of labour, tools, material, access to test facilities. The calculated value of “in kind” contribution should not exceed the market price.

The budget may also include financing from other sources. In order to ensure compliance with the EU rules on state aid, the co-financing may not include funds from public sector subsidisation schemes within the EU or EU Member States, including Denmark, unless this has been explicitly sanctioned by IFD. In this connection, enterprises must observe that the EU’s scope of legal state aid comprises the total funds from public sector subsidisation schemes regarded as state aid.

**IFD maximum investment rates for enterprises.**

Conditions apply. In case of nonfulfillment of the conditions the investment rates will be 15% lower. For more details see Section 3.2

The costs must be divided between either “industrial research” focussing on gaining new knowledge etc. or “Experimental development” focussing on utilising existing knowledge. This split is necessary a.o. because the investment rates for the activity types vary for companies. EU’s definitions of industrial research and experimental development, respectively, are provided in the EU’s General Block Exemption Regulation at: [http://eur-lex.europa.eu/legal-content/DA/TXT/PDF/?uri=CELEX:32014R0651&from=EN](http://eur-lex.europa.eu/legal-content/DA/TXT/PDF/?uri=CELEX:32014R0651&from=EN) (see in particular, definitions in 85 and 86, Article 2, page 25).

<table>
<thead>
<tr>
<th>Organisation type</th>
<th>Flat rate (de minimis)</th>
<th>Industrial Research</th>
<th>Experimental Development</th>
<th>Overhead</th>
</tr>
</thead>
<tbody>
<tr>
<td>Small and medium-sized enterprises (SMEs)</td>
<td>No</td>
<td>75%</td>
<td>50%</td>
<td>0%</td>
</tr>
<tr>
<td></td>
<td>Yes</td>
<td>60%</td>
<td>35%</td>
<td></td>
</tr>
<tr>
<td>Large enterprises</td>
<td>No</td>
<td>65%</td>
<td>40%</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Yes</td>
<td>50%</td>
<td>25%</td>
<td></td>
</tr>
<tr>
<td>Non-profit organisations such as the National Cluster Organisations</td>
<td>No</td>
<td>Rates for enterprises (SMEs of Large)</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Yes</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**IFD maximum investment rates for public Institutions, which carry out non-economic activities.**

For more details see Section 3.3

<table>
<thead>
<tr>
<th>Organisation type</th>
<th>Industrial Research</th>
<th>Experimental Development</th>
<th>Overhead</th>
</tr>
</thead>
<tbody>
<tr>
<td>Danish public research institutions (including universities, university colleges and sector research institutes)</td>
<td></td>
<td></td>
<td>44%</td>
</tr>
<tr>
<td>Non-Danish public universities (including universities in Greenland and on the Faroe Islands)</td>
<td></td>
<td>90%</td>
<td>20%</td>
</tr>
<tr>
<td>Public hospitals in Denmark, in Greenland and on the Faroe Islands and state- accredited Danish museums (under the Museum Act) and national museums in Greenland and on the Faroe Islands</td>
<td>90%</td>
<td>90%</td>
<td>3,1%</td>
</tr>
<tr>
<td>All other public entities e.g. municipalities, regions and government agencies</td>
<td></td>
<td></td>
<td>0%</td>
</tr>
</tbody>
</table>
IFD maximum investment rates for Danish government-approved Research and Technology Organisations (GTS-institutes).
For more details see Section 3.4

<table>
<thead>
<tr>
<th>Organisation type</th>
<th>Industrial Research</th>
<th>Experimental Development</th>
<th>Overhead</th>
</tr>
</thead>
<tbody>
<tr>
<td>Danish GTS-institutes, Non-economic activities</td>
<td>60%</td>
<td>60%</td>
<td>0% (salaries multiplied by the GTS-cost factor)</td>
</tr>
<tr>
<td>Danish GTS-institutes, which carry out economic activities in the project</td>
<td>Rates for enterprises (SMEs of Large)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

3.2. Budgetary rules for enterprises

IFD investments in enterprises are awarded within the constraints of IFD’s notification to the European Commission pursuant to the General block exemption Regulation (Commission Regulation (EU) No 651/2014 of 17 June 2014 cf. above link declaring certain categories of aid compatible with the internal market in application of Articles 107 and 108 of the Treaty Text), Chapter 1 (Articles 1-12) and Article 25.

The IFD maximum investment rates for enterprises are summarised in Section 3.1. It is a requirement that the project complies with the EU State Aid rules definition of “effective collaboration” or wide dissemination of the project results, cf. EU’s General Block Exemption Regulation Article 25, subsection 6 (b) i.e. one of the following condition must be fulfilled:

a) The project involves effective collaboration:

- between undertakings among which at least one is an SME, or is carried out in at least two Member States, or in a Member State and in a Contracting Party of the EEA Agreement, and no single undertaking bears more than 70% of the eligible costs, or
- between an undertaking and one or more research and knowledge-dissemination organisations, where the latter bear at least 10% of the eligible costs and have the right to publish their own research results;

b) The results of the project are widely disseminated through conferences, publication, open access repositories, or free or open source software.

If none of the above conditions are fulfilled, the IFD maximum investment rate for the company will be 15% lower than the above mentioned rates.

Definition of SME: SMEs have fewer than 250 employees. In addition, it is a requirement either that the enterprise’s annual turnover does not exceed EUR 50 mill. or that its balance sheet does not exceed EUR 43 mill. In addition, there are certain requirements regarding the enterprise’s autonomy. For details, see “The new definition of small and medium-sized enterprises – User guide and declaration” or contact IFD for more information.

Definition of de minimis

In order to apply under this rule, the enterprise should meet the conditions to receive the investment based on the EU Commission de minimis rules for state aid, i.e. COMMISSION REGULATION (EU) No 1407/2013 of 18 December 2013 on the application of Articles 107 and 108 of the Treaty on the Functioning of the European Union to de minimis aid, published December 24, 2013 in the Official Journal of the European Union. Enterprises within the sectors fisheries and aquaculture, primary production of agricultural products or road haulage (paid by third party), cannot use the flat rate of pay per hour, but must use the actual salary costs when budgeting as described in section 3.1, 3.2 and 3.5.
At the latest, when the investment agreement is signed with IFD, the enterprise will need to submit a Solemn Declaration that the enterprise fulfils the rules for de minimis aid in the period in question. This means that the enterprise must comply with the requirement of maximum de minimis aid of 200,000 EUR in total in the current and the two preceding financial years.

**Organisations which carry out economic activities**
Trade organisations, interest organisations, and organisations and enterprises performing economic activities, typically by offering products or services in a market, must observe the rules for state aid to enterprises cf. Section 3.1, 3.2 and 3.5, including the IFD maximum investment rates.

**Organisations which carry out non-economic activities**
Non-profit organisations such as the Danish National Cluster Organisations must observe the same rules as the rules for state aid to enterprises cf. Section 3.1, 3.2 and 3.5, including the IFD maximum investment rates. However, and in contrast to enterprises, these non-profit organisations are entitled to receive an overhead rate of 20%.

**3.3 Budgetary rules for public sector institutions**
Public sector institutions with non-economic activities are subdivided into four categories and the IFD maximum investment rates and overhead are listed in the summary table in Section 3.1. The overhead is calculated as a fixed percentage of all direct costs.

It is a prerequisite that applicants carry out non-profit activities, including independent research and development. The IFD maximum investment rate represents the part of the project costs, which can be covered by IFD.

- Danish institutions (including universities, university colleges and sector research institutes) subject to the rules on subsidised research activities under the Danish Ministry of Finance's budgetary guidelines and thereby authorised to carry out subsidised research activities.
- Foreign universities (including universities in Greenland and on the Faroe Islands).
- Public hospitals in Denmark, in Greenland and on the Faroe Islands and state-accredited Danish museums (under the Museum Act) and national museums in Greenland and on the Faroe Islands.
- All other entities e.g. municipalities, regions and government agencies.

If a public institution carries out economic activities in the project, by e.g. providing consultancy support, the budgetary rules for private sector enterprises in Section 3.2 apply.

**3.4 Budgetary rules for GTS-institutes**
The IFD maximum investment rates for GTS-institutes depends on whether the project activity relates to non-economic or economic activities. In case of non-economic activities, IFD requires that the project results are published or, by other means, made publically available to all on equal terms. In case of economic activities, where the activities are performed on market terms by e.g. providing consultancy support, the budgetary rules for private sector enterprises in Section 3.2 apply. The IFD maximum investment rates are listed in the summary table in Section 3.1.

**3.5 Eligible costs**
The budget must include all direct project costs, i.e. costs directly attributable to the project, regardless of whether the applicant is seeking to have those costs covered by IFD, or whether they will be borne by the project participants themselves or by a third party. The budget should not contain VAT or other turnover tax unless this has been specifically agreed with IFD. The budget may not include indirect costs.
A separate overhead, calculated from a fixed percentage of all direct costs, is added to universities and other types of institutions. The rates are set out in Section 3.1.

The costs are calculated in accordance with the rules provided in Section 3.1-3.5 for each of the organisation types. The total project costs are divided across the project participants, based on the principle that the entity defraying a given expense must include it in its own budget. For salaries, this means that the institution/enterprise paying the salaries during the project period must include this cost in its own budget. Please note that PhD-Students can participate in the project as staff and may be included in the budget and financial reporting, but only for the time spend on the project.

For each project participant, the direct costs must be divided into the following categories: salaries, equipment (equipment, materials, etc.), other project-related costs (events, transportation, travel, accommodation/catering, communication) and external services (consultancy costs or services), costs, that are not included in relevant overhead calculations as well as - for public sector units – any overhead, cf. the rules stated in Section 3.1-3.4.

- **Salaries**: Direct salary costs are salaries for all staff working on the project, including project management and project administration for the project in question. Direct salary costs include actual salary costs, calculated on the basis of the annual gross salary, incl. pension, insurance, and holiday pay. To calculate the gross hourly salary an annual total of 1,628 man hours for a full time employee is used. The calculated gross hourly salary per employee must be not exceed DKK 1,000. Salary costs, for which other forms of public subsidy, i.e. wage subsidy, are received may not be included. Similarly working time or other costs for voluntary workers may not be included in the budget.

All project partners are obligated to ensure time registration of the employees participating in the project. Compensation of costs for overtime, sick pay, leave of absence etc. may not be included in the calculation.

Enterprises applying for an investment under EUR 200,000 (equivalent of approximately DKK 1.5 mill.) can choose to use a flat rate of pay per hour of DKK 750 when budgeting, instead of an hourly pay rate based on the actual salary costs. For more details see Section 3.2.

GTS-institutes performing non-economic activities can multiply the salaries by the cost factor for the institute concerned, as documented and approved by the Danish Agency for Higher Education and Science. This cost factor applies to the full duration of the project period.

- **Equipment (equipment, materials, etc.):** Any costs of equipment necessary for carrying out the planned activities, and other direct project-related costs such as purchasing of materials. If the equipment is used for the project for only part of its lifespan, the depreciation costs during the project duration alone may be included in the budget. The depreciation costs must be calculated in accordance with normal accounting practice.

- **Other direct project-related costs**: Must be stated as actual, anticipated costs. Can be e.g. consultancy support, contract-based research, and travel and meeting costs.

- **External Services**: Some projects will depend on large-scale services purchased from external suppliers. Subcontracting must be included under the participant needing the subcontracting and should not exceed the market price. As a rule, a subcontractor is not a partner in the project. In exceptional cases, if an entity in the same project is a project participant as well as a

---

1 When a project participant is employed by more than one institution/enterprise, these entities will be responsible for determining how the project hours are divided between them. Each entity will thus include salaries for that entity’s share of the project hours in its own budget.
subcontractor the costs for the subcontractor must not exceed the cost price of the supplier for the subcontracting in question.

- **Overheads**: Overheads are only applied for certain partners. For details see Section 3.1.

- **Undistributed funds**: In order to ensure flexibility over the course of a project, especially in large-scale and long-term activities, a small proportion (max 10%) of IFD’s budgeted investment can be left undistributed among the individual partners at the start of the project. However, the budget must clearly state for which activities the undistributed funds are intended to be used. Applicants wishing to make use of this option must list a “partner” in their budget, calling it “Undistributed funding”. The subsequent use of undistributed funds continues following approval by IFD.

### 3.6 Capacity building

Capacity building is only relevant to consider and specify if the specific call text specifies capacity building as an objective.

Partners may reach the target level of co-financing in the application by committing themselves to increase the capacity at partners within the field(s) relevant to the application. Capacity building constitute costs which are not directly attributable to the project and therefore considered separate from specific project activities for which IFD maximum investment rates and co-financing rules apply as specified in section 3.

Capacity building may e.g. encompass the time a PhD-student is spending “outside” specific project activities, typically 1/3, which contribute to increasing the capacity within the field. Also new hirings of other types of staff such as professors or research managers during the lifetime of the project, and not working full time on specific project activities, may be considered as capacity building in case the remaining time spent is relevant to the field.

Applications containing capacity building must include this element separately in the budget.

### 4. Application preparation

#### 4.1 Application content

The application consists of an electronic application form completed in the e-grant application system ([www.e-grant.dk](http://www.e-grant.dk)). An overview of the content can be downloaded from IFD’s homepage.

The application form specifies obligatory and optional additional appendices which must be attached to the electronic form, e.g. the Budget Excel file.

All texts in the application form and appendices must be written in English.

The application must have an official title (max. 180 characters incl. spaces) briefly describing the activity. For technical reasons the title must not contain special characters such as @ § [ % ‘ ”]. Furthermore, an appropriate, short acronym must be listed.

The application form may specify space limitations for individual sections and for the whole application. Any text exceeding these limits will be ignored during the assessment and decision making process.

#### 4.2 Using e-grant

The application must be completed in the electronic application system e-grant, [www.e-grant.dk](http://www.e-grant.dk). Once one has been set up as a system user and have logged in, a new application can be created by selecting “Application possibilities” (in Danish: “Søgemuligheder”) in the menu on the front page. Then
locates the correct call and press “Apply now” (in Danish: “Start din ansøgning”). Note that the list of calls is sorted alphabetically, and that the names of all the calls from IFD are prefixed “IF”.

A user guide to the e-grant system, including information on how to become a user, is available at: https://innovationsfonden.dk/en/programmes/grand-solutions.

4.3 Budget
The budget must be prepared in accordance with the rules stipulated in Section 3 of the present guidelines. The budget must be typed into a special Excel template available for download from the application form in e-grant. See further details in the guide in the Excel file. The filename of the PDF document must not exceed 45 characters.

Note that the applicants, if invited to enter investment contract negotiations, are requested to revise the completed budget template.

Please note that the budget must include information on the individual project participants, including – for Danish entities – CVR numbers and P-numbers, where applicable (a P-unit is the place from which business is conducted, and a CVR number can have several P-numbers affiliated). If an application leads to an investment it is a requirement that each Danish project participant has a unique combination of CVR and P-numbers; i.e. if several project participants share the same CVR number it is absolutely necessary that they have individual and separate P-numbers.

5. Investment agreement and follow-up

5.1 Investment and collaboration agreements
To receive investment from IFD, the project participants and IFD must sign an investment agreement for the project no later than 60 days after the written invitation to the Investment Agreement negotiations. The project must start no later than 60 days after the investment agreement has been signed by all parties. IFD can demand reimbursement of part of the investment if the agreed conditions are not complied with.

IFD attaches great importance to collaboration in the project, and it is therefore a prerequisite that the participants have entered into a collaboration agreement, before an investment agreement can be signed. The collaboration agreement is an exhibit of the investment agreement. In the collaboration agreement, the participants decide how to use background and foreground knowledge, distribution of the project results, who will gain access to the project results and rules for publication and other publications of data, the process for project participants leaving or entering the project etc.

Negotiating the collaboration agreement is often time-consuming. We recommend that the project participants start negotiations ASAP after receiving invitation to the Investment Agreement negotiations.

IFD’s standard Investment Agreement as well as an “inspirational draft” for preparation of a collaboration agreement can be made available upon request.

Furthermore “General Terms and Conditions for Grand Solutions”, stating general terms and conditions for investments, can be found at the IFD website. These conditions apply for both Grand Solutions projects and Innomission partnerships.

The collaboration agreement is an appendix to the investment agreement.
Other appendices contain descriptions of:
- Project plan
- Organisation and Management
- Budget
5.1.1 Data Management Plan
The project participants are obligated to compose a Data Management Plan (DMP) as part of the project plan for the projects. A DMP contains an overall plan for managing data generated amongst the project participants within the project and describes relevant conditions as a consequence of GDPR (General Data Protection Regulation).

5.2 Project partners and project contributors
Please note, that when entering into the Investment Agreement it is possible to divide the project participants into “project partners” and “project contributors”, respectively. The division is especially relevant for projects with many participating institutions and enterprises.

The project partners will in this case be the main institutions and enterprises in the collaboration. They are part of the steering committee and appoint the project manager and administrator, cf. the conditions of the Investment Agreement. The project contributors are not part of the steering committee nor can they appoint the project manager and administrator. Project partners or project contributors have the same right to receive funds from IFD and the same obligations to IFD with respect to reporting etc.

5.3 Active follow-up on investments
IFD is an active partner in all Grand Solutions projects and Innomission partnerships and will to that end engage in proactive interaction with the project for its duration. Specifically, IFD appoints one or more Investment Manager(s) to safeguard its interests in the project. The project follow-up will be evident from the Investment Agreement between IFD and the individual projects.

IFD requires that the project undertakes thorough reviews in the project period, typically once a year. IFD reserves the right to obtain information about results and effects for a period of five years after the termination of the project. In addition, IFD reserves the right to terminate projects, which are deemed to have made insufficient progress or considered futile, or are unable to meet the goals on which IFD based its investment in the project. Conversely, projects that document especially promising results and potential will be invited to apply for new funding.

IFD requires a data management plan in the Investment Agreement and will annually request follow-up on this by the project. This is also the case for IFD’s follow-up on open access, which follows the guidelines for green open access. IFD will compile statistics for all projects’ compliance with this.

6. Other matters concerning processing of applications etc.

6.1 Registration of information
The e-grant application system registers certain data automatically. When you set yourself up as a user, e-grant registers your identity, your IP address and the time and date on which you entered or edited your data.

6.2 Applicant’s responsibility
It is the applicant’s responsibility to ensure that the information provided in the electronic application is accurate, that the required appendices have been attached to the application, that the content of the appendices is correct, and that the application is submitted before the application deadline for that specific call.

As a rule, IFD does not obtain additional information to process the application cf. though section 2.2 on the consultation procedure of peer reviews and project interviews, as well as forward material and other
documentation attached to the application in addition to the material listed in section 4.1 will as a rule not be included in the assessment of the application.

The applicant is under obligation to notify IFD immediately in the event of any subsequent material changes affecting the information submitted, including the amount of funding for the activities or parts thereof received from other sources.

6.3 Technical disclaimer
The Danish Agency for Higher Education and Science, responsible for e-grant, has a duty to notify system users of errors causing e-grant to be inaccessible to the point where it affects the applicant’s access to submit an application by a given deadline. Updates on any system disruptions will be posted here: http://ufm.dk/en/research-and-innovation/funding-programmes-for-research-and-innovation/e-grant/operational-status.

In extremely exceptional cases, IFD may extend the application deadline for all affected applicants. This will likewise be announced at: http://ufm.dk/en/research-and-innovation/funding-programmes-for-research-and-innovation/e-grant/operational-status

IFD and the Danish Agency for Higher Education and Science disclaim all and any liability for erroneous information ensuing from faults in software, computation errors, transmission faults and similar faults, and in respect of any claim for damages ensuing from incorrect use of e-grant.

6.4 Rectification of application data
After the application deadline it is not possible to rectify the content of the application aside from rectification of any personal data.

6.5 Disqualification from participation in applications
An enterprise that has not met a demand for reimbursement of funding, which has been declared illegal by the European Commission in an earlier decision, and is incompatible with the common market cannot participate in an application.

Furthermore, enterprises in difficulty, as defined in Article 2, point 18 of the European Commission’s General block exemption Regulation no. 651/2014 http://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32014R0651&from=EN, likewise cannot participate.

6.6 Rejection of applications without substantive consideration
In the event of failure to comply with formal requirements or deadlines, the application may be rejected without substantive consideration. This includes among other the following formal requirements and deadlines:

- The application must be submitted via the e-grant application system by the deadline stated in the call document.
- All texts in the application form and appendices must be written in English.
- The electronic application form must contain all the required information and must be appended with the required appendices using the appendix templates available via the application form in e-grant. The scope of the appendices must be as stipulated and all appendices must comply with the form and content requirements defined in the application form. Appendices must not be password-protected or otherwise locked, and be aware of the size limitations in terms of number of pages and megabyte.

6.7 Procurement of other information
In the event that funding for the activity has been or will be sought from other sources, IFD reserves the right to obtain information as to whether any such amount has been granted.
6.8 Disclosure
IFD will publish a list of the projects that will receive investments, and IFD will, in that connection, request project participants to provide a short popular description of the project to be used for publication. In addition, the title, name, place of employment and email address of the project leader, the names of the participating parties, the title and duration of the project, key figures and the size of the investment may be published in the Danish National Research Database (http://www.forskningsdatabasen.dk), on the IFD website (www.innovationsfonden.dk) and in IFD publications.

Applicants should bear in mind that information may be disclosed in the event that an application is filed for access to information pursuant to the Danish Access to Public Administration Files Act ("Offentlighedsloven"). Access to information may, for example, be granted in the form of lists of who has applied and for what purpose (applicant names, application titles and amounts applied for). Applicants should therefore take care that their application title does not contain information about activities, which are to be kept out of the public domain. With regards to the application, IFD in dialogue with the applicants (enterprises etc.) will ensure that no commercially sensitive data is disclosed, as well as any other information which, with reference to the law, may not be disclosed.

6.9 Open access
Applicants are advised that IFD has adopted the rules laid down in “Open Access policy for public-sector research councils and foundations”. This means that published scientific articles, which are the result of full financing or co-financing from IFD, are to be made freely available to the public via Open Access, if the publisher consents. See the complete wording of the policy under the drop down menu “Material for investment agreement negotiations” by this link: “Open Access policy for public-sector research councils and foundations”.

6.10 RRI and the Danish Code of Conduct for Research Integrity
IFD attaches importance to Responsible Research and Innovation (RRI), which seeks to advance greater coherence between research and innovation processes and results and societal values and needs. IFD promotes RRI in both its overarching strategies and via its projects, and abides by the European Commission’s definition and implementation of RRI. You can read more about RRI and the requirements of IFD at: https://innovationsfonden.dk/en/about-innovation-fund-denmark

Note that one of the requirements is that the projects in which IFD invests must involve all relevant parties and institutions in the research and innovation processes. One aspect hereof is that projects which have or may have a significant impact on society and/or the individual citizen, ethically or technologically, will enter into direct dialogue with the general public in order to facilitate dissemination of information and relevant debate in society. This can e.g. be done through relevant public meetings. IFD reserves the right to make specific demands for the projects in which IFD invests. If a project concerns technologies or processes that may have a significant impact on society, the consequences of the technology or processes must be evident from the application. It is therefore expected that such projects include all relevant competences and methods, and socially relevant research perspectives, e.g. anthropology or similar, should be integrated.

Similarly, IFD endorses the policies laid down in the Danish Code of Conduct for Research Integrity and expects the projects it invests in to comply with RRI and the Code of Conduct. Read the Code of Conduct at: https://ufm.dk/en/publications/2014/the-danish-code-of-conduct-for-research-integrity

6.11 Data Management
IFD would like project-generated data to be managed in accordance with the FAIR principles (Findable, Accessible, Interoperable and Reusable) as described in EU’s “Guidelines on FAIR Data Management in Horizon 2020” (Version 3.0, 26 July 2016). Thereby it is possible to build on former research results, verify results by other scientists, avoid work duplication, accelerate innovation and create transparency and credibility of the results.